

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yoshitaka MIYAKAWA et al.

Title: ANIMAL MODEL OF HUMAN
HEMATOPOIETIC TUMOR

Patent No.: 7,259,290 Issue Date: 08/21/2007

Appl. No.: 10/533,796 Filing Date: 11/06/2003

Examiner: Michael C. Wilson

Art Unit: 1632

Confirmation 6927
Number:

PETITION TO CORRECT NAMES OF ASSIGNEES ON AN ISSUED PATENT
UNDER 37 C.F.R. § 1.183

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that under 37 C.F.R. §3.81(b) the name of the assignee be corrected for the above-identified patent.

In accordance with 37 C.F.R. §3.81(b), Applicants hereby state that the failure to include the correct assignee names on Form PTOL-85B was inadvertent and that the recorded assignment was submitted before issuance of the present patent, as evidenced by the enclosed copy of the Notice of Recordation of Assignment Document. The petition fee of \$130.00 under 37 C.F.R. § 1.17(h) is also enclosed.

Also in accordance with 37 C.F.R. §3.81(b), Applicants submit herewith a Request for Certificate of Correction, and respectfully request, that if the present petition is approved, this file be forwarded to the Certificates of Correction Branch for issuance of a Certificate of Correction.

A credit card payment in the amount of \$130.00 for the petition fee is submitted herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 28 January 2009

By S. A. Bent

FOLEY & LARDNER LLP
Customer Number: 22428
Telephone: (202) 672-5535
Facsimile: (202) 672-5399

Stephen A. Bent
Attorney for Applicant
Registration No. 29,768

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JAN 09 2006

HEWM LLP - P.A.



38331-0006.1

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 22, 2005

PTAS

JAMES A. FOX
HELLER EHRMAN, LLP
275 MIDDLEFIELD ROAD
MENLO PARK, CA 94025-3506



103073117A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/04/2005

REEL/FRAME: 016932/0508
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MIYAKAWA, YOSHITAKA

DOC DATE: 04/21/2005

ASSIGNOR:

KIZAKI, MASAHIRO

DOC DATE: 04/21/2005

ASSIGNOR:

IKEDA, YASUO

DOC DATE: 04/21/2005

ASSIGNOR:

NAKAMURA, MASATO

DOC DATE: 04/21/2005

ASSIGNOR:

OHNISHI, YASUYUKI

DOC DATE: 04/21/2005

DOCKETED

016932/0508 PAGE 2

ASSIGNEE:

CENTRAL INSTITUTE FOR EXPERIMENTAL
ANIMALS
1430 NOGAWA, MIYAMAE-KU, KAWASAKI-
SHI
KANAGAWA, JAPAN 216-0001

SERIAL NUMBER: 10533796

FILING DATE: 05/04/2005

PATENT NUMBER:

ISSUE DATE:

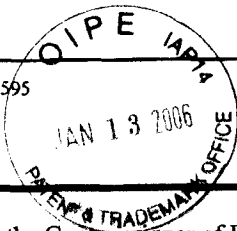
TITLE: ~~MODEL ANIMAL~~ OF HUMAN HEMATOPOIETIC ORGAN TUMOR

Animal Model

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1595

1-31-92



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09-02-2005

HEET

U.S. DEPARTMENT OF COMMERCE



17 Rec'd PCT/PTO 04 MAY 2005

To the Commissioner of Patents

103073117

and original documents or copy thereof.

1. Name of conveying party(ies):

Yoshitaka Miyakawa, Masahiro Kizaki, Yasuo Ikeda,
Masato Nakamura and Yasuyuki Ohnishi

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

2. Name and address of receiving party(ies):

Name: Central Institute for Experimental Animals

Street Address: 1430 Nogawa, Miyamae-ku,
Kawasaki-shi,

City/State/Zip: Kanagawa, Japan 216-0001

Additional name(s) & address(es) attached?

☒ Yes ☐ No

Execution Date(s): 4/21/2005

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 4/21/2005

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James A. Fox

Internal Address:
Heller Ehrman, LLP
275 Middlefield Road
Menlo Park, CA 94025-3506

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 08-1641
(Attorney Docket No.: 38331-0006)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James A. Fox, Reg. No. 38,455

Name of Person Signing

Signature

May 4, 2005

Date

Total number of pages including cover sheet, attachments, and document: [4]



Attorney Docket No. 38331-0006
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Yoshitaka Miyakawa)	Art Unit: 1632
)	
Application No.: 10/533,796)	Confirmation No.: 6927
)	
Filed: May 4, 2005)	Examiner: To Be Assigned
)	
Title: Animal Model of Human Hematopoietic Organ)	
Tumor)	

Express Mail No. EV 765 983 510 US
Mailing Date: January 13, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

Sir:

There are errors with respect to the following, which are omitted, or misspelled:

Error in

Correct data

Title

***Animal Model of Human Hematopoietic Organ
Tumor***

Attached is a marked up copy of the Notice of Recordation of Assignment document. Also attached is a copy of the recordation cover sheet reflecting the accurate information, as filed with the assignment on May 4, 2005.

Issuance of a corrected Notice of Recordation of Assignment Document is requested.

The Commissioner is authorized to charge any fees associated with this communication to Deposit Account 08-1641.

Respectfully submitted,

Dated: January 13, 2006

By: _____

James A. Fox, Reg. No. 38,455

HELLER EHRMAN LLP
275 Middlefield Road
Menlo Park, CA 94025-3506
(650) 324-7000
Customer No. 25213

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JUN 19 2006



3431-000

HELLER EHRMAN UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 12, 2006

PTAS



103215678A

JAMES A. FOX
HELLER EHRMAN, LLP
275 MIDDLEFIELD ROAD
MENLO PARK, CA 94025-3506

UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 04/06/2006

REEL/FRAME: 017757/0496
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
MIYAKAWA, YOSHITAKA

DOC DATE: 04/21/2005

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KIZAKI, MASAHIRO

DOC DATE: 04/21/2005

ASSIGNOR:
IKEDA, YASUO

DOC DATE: 04/21/2005

ASSIGNOR:
NAKAMURA, MASATO

DOC DATE: 04/21/2005

ASSIGNOR:
OHMISHI, YASUYUKI

DOC DATE: 04/21/2005

ASSIGNEE:
KEIO UNIVERSITY
15-45, MITA 2-CHOME
MINATO-KU, TOKYO 108-8345, JAPAN

6/20/06
DOCKETED

017757/0496 PAGE 2

SERIAL NUMBER: 10533796

FILING DATE: 05/04/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: ANIMAL MODEL OF HUMAN HEMATOPOIETIC TUMOR

DIANE RUSSELE, PARALEGAL
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

04-11-2006

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FORM PTO-1595

1-31-92

103215678
PATENTS ONLY

EET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yoshitaka Miyakawa, Masahiro Kizaki, Yasuo Ikeda,
Masato Nakamura and Yasuyuki Ohnishi

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Keio University

Street Address: 15-45, Mita 2-chome, Minato-ku

City/State/Zip: Tokyo, Japan 108-8345

Additional name(s) & address(es) attached?

☒ Yes ☐ No

3. Nature of conveyance:

☒ Assignment
☐ Security Agreement
☐ Other☐ Merger
☐ Change of Name

Execution Date(s): 4/21/2005

4. Application number(s) or patent number(s): 10/533,796

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B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

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Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [4]